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1711**TRANSMITTAL
FORM**

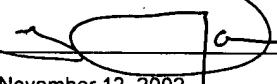
(to be used for all correspondence after initial filing)

		Application Number	09/963,858
		Filing Date	September 25, 2001
		First Named Inventor	Frechet, Jean J.
		Group Art Unit	1711
		Examiner Name	not yet assigned
Total Number of Pages in This Submission	1	Attorney Docket Number	18062G-003510US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	International Search Report
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	8 References
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	

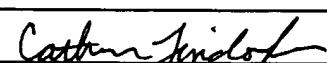
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Jeffry S. Mann	Reg. No. 42,837
Signature		
Date	November 12, 2002	

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PATENT
Attorney Docket No.: 18062G-003510US
Client Reference No.: B01-007

On November 12, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Catherine Sandorff

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jean J. Frechet, et al.

Application No.: 09/963,858

Filed: September 25, 2001

For: DENDRIMERIC SUPPORT OR
CARRIER MACROMOLECULE

Examiner:

Art Unit: 1711

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search report corresponding to the PCT application.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jeffry S. Mann
Reg. No. 42,837

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 415-576-0200
Fax: 415-576-0300
JSM:cjl
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/963,858
Filing Date	September 25, 2001
First Named Inventor	Frechet, Jean J., et. al.
Art Unit	1711
Examiner Name	
Attorney Docket Number	18062G-003510US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-5,418,301	05-23-1995	Hult et al.	
	AB	US-6,114,458	09-05-2000	Hawker et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	BA	WO 99/00439 A1	01-07-1999	PERSTORP AB		<input type="checkbox"/>
	BB	WO 97/45474 A1	12-04-1997	PERSTORP AB		<input type="checkbox"/>

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	ANNBY, U. et al., "Benzylidene Protected Bis-MPA, A Convenient Dendrimer Building Block," <i>Tetrahedron Letters</i> , Elsevier Science Publishers, Amsterdam, NL, 39:3217-3220 (1998)	
	CB	TROLLSAS, M. et al., "Hyperbranched Poly(epsilon-caprolactone)s," <i>Macromolecules</i> , 31:3439-3445 (1998)	
	CC	TROLLSAS, M. et al., "Highly Functional Branched and Dendri-Graft Aliphatic Polyesters through Ring Opening Polymerization," <i>Macromolecules</i> , 31:2756-2763 (1998)	
	CD	TROLLSAS, M. et al., "Versatile and Controlled Synthesis of Star and Branched Macromolecules by Dendritic Initiation," <i>Macromolecules</i> , 30:8508-8511 (1997)	

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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